



APR 19 2007

Attorney's Docket No.: 6570P041

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Nikolai G. Nikolov, et al. )  
Serial No: 10/749,617 )  
Filed: December 30, 2003 )  
For: Execution Of Modified ByteCode )  
For Debugging, Testing And/Or )  
Monitoring Of Object Oriented )  
Software )

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Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Examiner: Dao, Thuy Chan  
Art Unit: 2192  
Confirmation No.: 9855

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 19, 2007  
(Date of Deposit)  
Carla Vignola  
(Printed name)  
CV  
[Signature] 4/19/07  
(Signature) (Date)

INFORMATION DISCLOSURE STATEMENT AND NOTICE OF OTHER PENDING APPLICATION(S)

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Submission of the enclosed information is not to be construed as an admission that the enclosed information is material to patentability of the present application or that the claims of the present application and claims of the application(s) associated with the enclosed information are not patentably distinct.

Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

The Applicant would like to bring to the Examiners attention an Office Action received in the following related co-pending applications, U.S. Patent Application No. 10/750,067, entitled, "BYTE CODE MODIFICATION FOR TESTING, DEBUGGING AND/OR MONITORING OF VIRTUAL MACHINE BASED SOFTWARE," filed on December 30, 2003 and U.S. Patent Application No. 10/750,396, entitled, "CLASSFILE CONVERSION INTO AN ORGANIZATION OF OBJECTS, AND MODIFICATIONS THEREOF, TO EFFECT BYTECODE MODIFICATION," filed on December 30, 2003. Applicant has provided the Examiner with a copy of the related Office Action and Notice of Allowance, claims as they stood in the related application prior to the mailing of the Office Action, a copy of the claims as they were presented to the PTO in response to the Office Action and a copy of the allowed claims.

**Applicants respectfully request that the above-listed items not be printed on the face of any patent which may issue from the present application.**

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

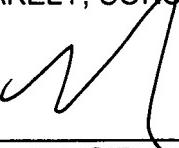
- 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or  
 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and  
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

4/16/07

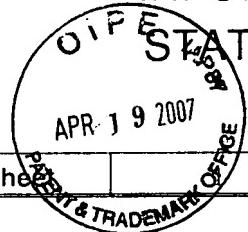
  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



**Sheet**

of

3

Attorney Docket Number

10/749.61

**Application Number**

10/749,617

**Filing Date**

12-30-03

**First Named Inventor:**

Nikolai G. Nikolov

## Art Unit

2192

**Examiner Name**

Dao, Thuy Chan

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|--|--------------------------------|--|--|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup><br>(if known) |                                |  |  |                |
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|-----------------------|--|-----------------|--|
| Examiner<br>Signature |  | Date Considered |  |
|-----------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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***Complete if Known***

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|-------|---|----|---|------------------------|----------|
| Sheet | 2 | of | 3 | Attorney Docket Number | 6570P041 |
|-------|---|----|---|------------------------|----------|

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | www.w3.org/TR/DOM-Level-2-Core/introduction.html<br><u>What is Document Object Model?</u> , 13 November 2000, pp. 1-7.   | T <sup>2</sup> |
|--------------------|----------------------|--|----------------|
|                    |                      | www.cafeonleche.org/books/xmljava/chapters/ch09.html<br>Chapter 9, <u>The Document Object Model</u> , 2001, 2002, pp. 1-2.   |                |
|                    |                      | www.cafeonleche.org/books/xmljava/chapters/ch09s04.html<br>Chapter 9, <u>The document Object Model, Trees</u> , 2001, 2002, pp. 1-10.                              |                |
|                    |                      | www.cafeonleche.org/books/xmljava/chapters/ch09s05.html<br>Chapter 9, <u>The Document Object Model DOM Parsers for Java</u> , 2001, 2002, pp. 1-3.                 |                |
|                    |                      | www.cafeonleche.org/books/xmljava/chapters/ch09x06.html<br>Chapter 9, <u>The Document Object Model Parsing documents with a DOM Parser</u> , 2001, 2002, pp. 1-10. |                |
|                    |                      | www.cafeonleche.org/books/xmljava/chapters/ch09s09.html<br>Chapter 9, <u>The Document Object Model JAXP Serialization</u> , 2001, 2002, pp. 1-3.                   |                |
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| Examiner Signature | Date Considered |
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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Sheet 3 of 3 Attorney Docket Number 6570P041

**Complete if Known**

|                       |                    |
|-----------------------|--------------------|
| Application Number    | 10/749,617         |
| Filing Date           | 12-30-03           |
| First Named Inventor: | Nikolai G. Nikolov |
| Art Unit              | 2192               |
| Examiner Name         | Dao, Thuy Chan     |

**RELATED PATENT APPLICATION INFORMATION**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published  | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
|                    |                      | NIKOLAI G. NIKOLOV, "BYTE CODE MODIFICATION FOR TESTING, DEBUGGING AND/OR MONITORING OF VIRTUAL MACHINE BASED SOFTWARE", Serial number 10/750,067, filed on December 30, 2003, Office Action mailed January 16, 2007. Copy of the Office Action and claims as they were amended in response to the office action and claims as they stood prior to response to office action. |                |
|                    |                      | NIKOLAI G. NIKOLOV, "CLASSFILE CONVERSION INTO AN ORGANIZATION OF OBJECTS, AND MODIFICATION THEREOF, TO EFFECT BYTECODE MODIFICATION", Serial number 10/750,396, filed on December 30, 2003, Notice of Allowance mailed January 3, 2007. Copy of the Office Action and claims as allowed.   |                |
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